



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)



CONFIRMATION NO. 7715

Bib Data Sheet

SERIAL NUMBER 09/809,885	FILING DATE 03/16/2001 RULE	CLASS 423	GROUP ART UNIT 1754	ATTORNEY DOCKET NO. 11321-P026US
-----------------------------	-----------------------------------	--------------	------------------------	--

**APPLICANTS**

- John L. Margrave, Bellaire, TX;
- Edward T. Mickelson, Pearland, TX;
- Robert Hague, Houston, TX;
- Peter Boul, Houston, TX;
- Chad Huffman, Houston, TX;
- Jie Liu, Chapel Hill, NC;
- Richard E. Smalley, Houston, TX;
- Ken Smith, Katy, TX;
- Daniel T. Colbert, Houston, TX;

**\*\* CONTINUING DATA \*\*\*\*\***

THIS APPLN CLAIMS BENEFIT OF 60/101,092 09/18/1998  
 WHICH CLAIMS BENEFIT OF 60/106,918 11/03/1998  
 WHICH CLAIMS BENEFIT OF 60/138,505 06/10/1999

**\*\* FOREIGN APPLICATIONS \*\*\*\*\***

PCT/US99/21366 09/17/1999

**\*\* SMALL ENTITY \*\***

Foreign Priority claimed	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY TX	SHEETS DRAWING 26	TOTAL CLAIMS 45	INDEPENDENT CLAIMS 4
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature	Initials			

**ADDRESS**

Attention: Ross Spencer Garsson  
 Winstead Sechrest & Minick P.C.  
 Suite 800  
 100 Congress Avenue  
 Austin , TX 78701

**TITLE**

Chemical derivatization of single-wall carbon nanotubes to facilitate solvation thereof; and use of derivatized nanotubes to form catalyst-containing seed materials for use in making carbon fibers

FILING FEE RECEIVED 620	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
-------------------------------	---	---